

# global relay archive for YellowJacket®

## COMPLIANCE ARCHIVING FOR YELLOWJACKET MESSAGES



YellowJacket is an advanced messaging platform that delivers unparalleled efficiencies to the institutional derivatives markets. Used by the largest trading and brokerage firms, YellowJacket allows customers communicating over instant messenger to leverage advanced technology traditionally only applicable to electronic trading.

Global Relay Archive for YellowJacket brings the benefits of software-as-a-service message archiving to the YellowJacket community. This cloud-based service securely and automatically archives every YellowJacket message sent to, from or among the traders in your firm — providing compliance, control and convenience.

### Archiving for Compliance, Access & More

#### Enable the compliant use of YellowJacket

— Industry regulations such as the Commodity Exchange Act (CEA) as well as SEC Rule 17a-4, NASD Rules 3010 and 3110 require all electronic business communications to be archived and monitored — including trading and messaging platforms such as YellowJacket. Global Relay Archive for YellowJacket securely captures and preserves all YellowJacket messages — allowing your firm to comply with these requirements.

**Message Supervision & Review** — The powerful, flexible supervision and monitoring tools built into Global Relay Archive let compliance officers and managers perform detailed reviews of all electronic communications, including YellowJacket messages. This allows firms to meet regulatory requirements for message monitoring and review and facilitates employee supervision and HR policy enforcement. Since all users' messages are automatically captured and archived, a perfect record is created of "who said what when".

**Convenient Message Access** — Having every message type archived in a single easy-to-access location is an advantage for any firm.

In addition to YellowJacket messages, Global Relay Archive supports more than 20 message types, including email, instant messaging (AOL, MSN, Yahoo!, GoogleTalk), BlackBerry, Bloomberg, Thomson Reuters, social media (LinkedIn, Twitter, Facebook) and more. Global Relay Archive allows compliance officers, managers and employees to find and retrieve messages of any type with a single search — saving time and providing a comprehensive view of each user's communications.

**End-User Access** — Global Relay provides users with convenient tools to search and retrieve their own archived messages anywhere, anytime — via web browser, Microsoft Outlook, BlackBerry, iPhone or iPad. Each message type is clearly identified in the archive with a unique icon.

### About Global Relay

Founded in 1999, Global Relay is the market leader in Compliance Messaging Solutions — including Archiving, eDiscovery, Mobile Messaging and Collaboration.

Global Relay's 15,000 customers in 90 countries include broker-dealers, hedge funds, investment advisors and public companies, as well as 22 of the top 25 global banks. Global Relay has strategic partnerships worldwide, including a global Strategic Partnership with Thomson Reuters for compliance archiving.

### Features & Benefits

#### Compliance & eDiscovery

- Meets compliance, audit and litigation rules (SEC, FRCP, SOX, privacy)
- Archived YellowJacket messages are housed on tamperproof WORM storage with write-verification to ensure message authenticity
- Online search, retrieval, monitoring and audit tools for policy enforcement
- Advanced dual encryption to ensure privacy, confidentiality and non-disclosure

#### Message Management

- Unified archive — store YellowJacket messages alongside email, instant messaging (AOL, MSN, Yahoo!, GoogleTalk), BlackBerry, Bloomberg, Thomson Reuters, Pivot, YellowJacket, social media and more
- Search across all message types in your firm's archive — search results can show any/all archived message types
- Perform any search query in seconds
- Hosted archive provides secure, centralized offsite storage
- Easy message export from the archive in PST format

For further information about Global Relay Archive for YellowJacket:

**1.866.484.6630**  
**www.globalrelay.com**



## How it Works

Global Relay Archive for YellowJacket supports every type of YellowJacket message, including individual instant messages and group chats.

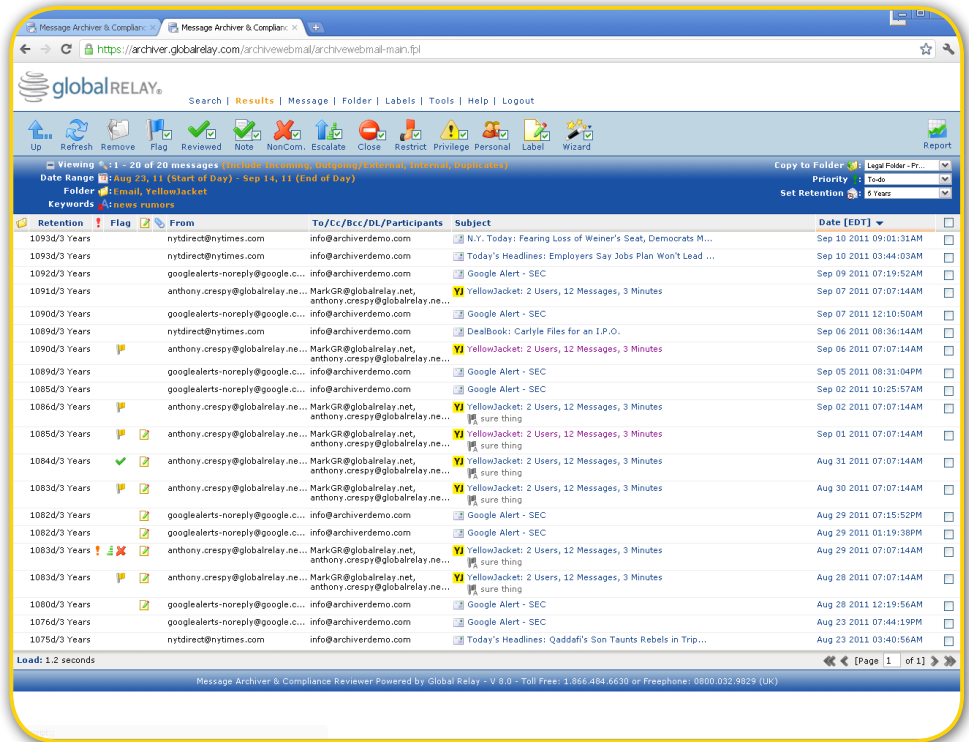
1. Each day, Global Relay automatically and securely downloads a copy of all your firm's new Yellow Jacket messages via HTTPS.
2. Global Relay Archive indexes and archives each message for search and retrieval purposes.
3. The messages are time-date stamped, serialized and written to tamper-proof storage to meet compliance requirements.
4. YellowJacket messages are automatically routed to a YellowJacket system folder in Global Relay Archive for easy online access and identification.
5. YellowJacket messages can be reviewed within Global Relay Archive alongside all other supported message types (email, instant messaging, Bloomberg, etc.).
6. Global Relay Archive supports a variety of access privilege levels to support various roles in the organization, such as Compliance, Legal, Managers, and Standard Users.

Global Relay is also able to provide external delivery of YellowJacket messages via:

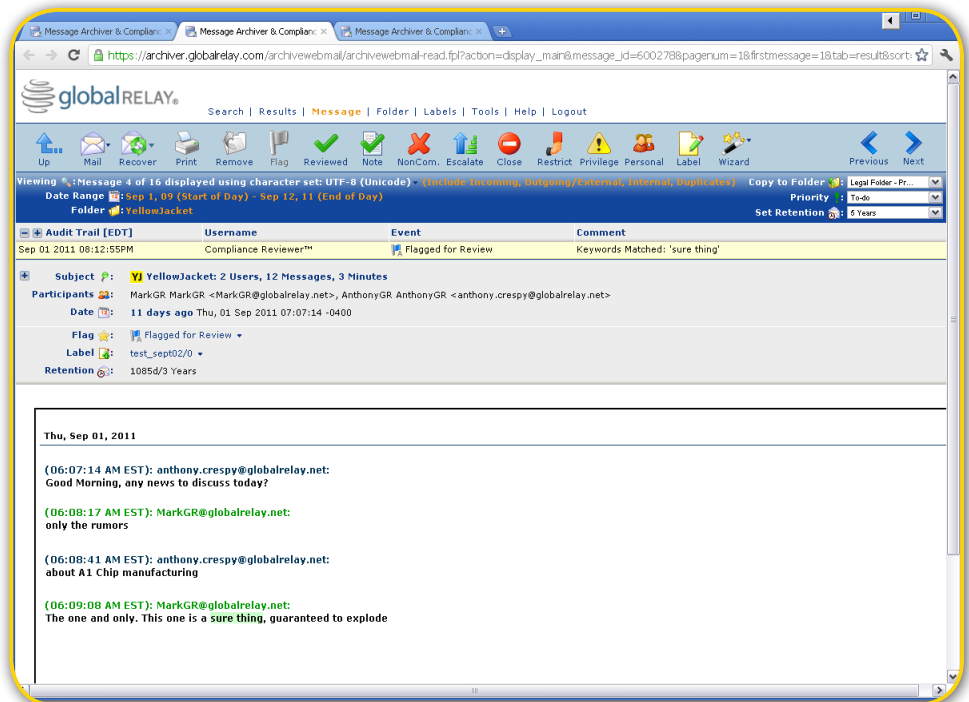
- (a) SFTP upload for secure transfer to customers' in-house archiving systems
- (b) SMTP for secure delivery to customers' in-house archiving systems through TLS/SSL

## Getting Started

Having your firm's YellowJacket messages archived by Global Relay is easy and straightforward to set up. To get started, contact us at **1.866.484.6630**.



The search results page within Global Relay Archive displays YellowJacket messages alongside email and other archived message types for easy review.



YellowJacket messages are displayed as conversations in Global Relay Archive.

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